

## CMPUT 671 Assignment 2

Due Oct. 23, 2003

1. Let  $V = \{1, \dots, n\}$  and assume the  $\mathcal{G}_{np}$  distribution. Show that for fixed  $\epsilon > 0$  if  $p \in O\left(\frac{1}{n^{2/3+\epsilon}}\right)$  then the probability of a 4-clique occurring in a random graph goes to zero as  $n$  goes to infinity.

Hint: use the first moment method; that is show the expected number of 4-cliques goes to 0.

2. Using  $\mathcal{G}_{np}$  as in the previous question, show that there is a constant  $c$  such that if  $p \geq c/n$  then the probability that  $G$  is 3-colorable goes to zero as  $n$  goes to infinity.

Hint: use the first moment method to show the probability of an independent set of sufficient size goes to zero. (i.e. there is a lower bound on the smallest possible largest set in a 3-colorable graph).

3. Note that the previous two questions say there are large sparse ( e.g.  $p \in O(1/n)$ ) random graphs that are not 3-colorable but at the same time have no 4-clique, which is one thing we might look for to prove non-colorability. A 4-clique is the smallest example of a 4-critical graph; that is, it is not 3-colorable, but if any edge is deleted then it is 3-colorable. The wheels with odd cycles used in the first assignment are all 4-critical as well. (The 3-critical graphs are just the odd cycles, and so easy to detect.)

In the following we will determine a lower bound on the size of the smallest non-3-colorable graph (with high probability) when  $p = c/n$ .

- (a) Show that a critical graph must have minimum degree of at least 3.
- (b) Suppose you are looking for a critical graph on  $s$  vertices. Use (a) to give a lower bound on the number of edges in any such graph.
- (c) For edge probability  $p$ , provide a formula to upper bound the probability  $p(s)$  that an arbitrary set of  $s$  vertices contains a critical subgraph, by bounding the probability of it having sufficient edges.
- (d) For a graph  $G = (V, E)$ , a subset  $S \subseteq V$  induces the subgraph  $G[S] = (S, E')$  where  $E' = \{(x, y) | x, y \in S, (x, y) \in E\}$ .

Given a graph  $G$  with  $n$  vertices generated randomly with  $p = c/n$ , give a formula to upper bound the expected number, called  $a(n, s)$ , of subgraphs induced by a set of  $s$  vertices that contain a critical graph. For this part assume  $s$  is a fixed constant.

- (e) At this point you should have a big messy formula for  $a(n, s)$ . Show that the sum of the expected values  $a(n, s)$  for  $4 \leq s \leq h$  goes to zero as  $n$  goes to infinity for suitable functions  $h = h(n)$ . It should not be too hard to show there exists an  $\epsilon > 0$  such that this is true for  $h < n^\epsilon$ .

(If you are familiar with more advanced combinatorial methods, you can do better, i.e.  $h = \alpha n$  for a suitable small  $\alpha > 0$ . For example,

you may split the sum as is done in lemma 1 of the Chvátal and Szemerédi, *Many Hard Examples for Resolution* JACM Vol. 35(4) 1988, pp 759–768.)

You have shown that the smallest critical graph is large, which suggests that 3-coloring will be hard at the threshold.

4. The Hajós construction: Let  $K_k$  be the complete graph on  $k$  vertices. Assume we start our collection with  $K_k$ . Repeatedly select one of the following steps to create new  $G$  to add to the collection. (To identify  $x, y$ , we replace them by a single vertex  $z$ , and put  $(z, w) \in E$  if  $(x, w) \in E$  or  $(y, w) \in E$ ,  $w \neq x$  or  $y$ .)
  - (a) If  $G_1$  and  $G_2$  are in the collection (they may be copies of the same graph) remove an edge  $(x_1, y_1)$  from  $G_1$  and an edge  $(x_2, y_2)$  from  $G_2$ . Let  $G$  be the union, identify vertices  $x_1$  and  $x_2$  and add the edge  $(y_1, y_2)$ .
  - (b) If  $G_1$  is in the collection and it contains a non-adjacent pair of vertices  $x, y$ , then construct  $G$  by identifying  $x$  and  $y$ .
  - (c) If  $G_1$  is in the collection then create  $G$  by adding new vertices and/or new edges to  $G_1$ .

Consider the following questions.

- (a) Prove that every graph constructible using this method has chromatic number at least  $k$ .
- (b) Let  $k = 4$ . Show a sequence of construction steps to generate a non-3-colorable graph which is triangle free.
- (c) Let  $k = 4$  and restrict the construction to type (a) steps only. Prove a linear upper bound on the number of edges as a function of  $n$  as  $n$  becomes large.
- (d) Let  $k = 4$  and restrict the construction to type (a) steps only. Show that every graph constructed is 4-critical.
- (e) Let  $k = 4$  and restrict the construction to type (a) steps only. Show that there exists a polynomial sized proof (not necessarily a resolution proof) that the graph is not 3-colorable.
- (f) \* Let  $k = 4$  and restrict the construction to type (a) steps only to construct a graph  $H$ . Let  $G \supseteq H$ . Give a polynomial time algorithm to show any such  $G$  is not 3-colorable.

This exercise shows that large sparse critical subgraphs are not necessarily hard to identify. Hajós showed in 1961 that every graph with chromatic number at least  $k$  could be constructed by his method. It is open as to whether or not there is always a polynomial (in  $n$ ) Hajós construction for any non- $k$ -colorable graph, but a positive answer would imply  $\text{NP} = \text{CO-NP}$ , so is considered unlikely. Note that steps of type (b) reduce the number of vertices, which is “why” the complete construction may not be polynomial in  $n$ .