

## Lecture 27: Graph Algorithms

### Agenda:

- Greedy algorithms: elements & properties
- Minimum spanning tree
- 1<sup>st</sup> algorithm — Prim's

### Reading:

- Textbook pages 379 – 384, 558 – 579

## Minimum spanning tree (MST) problem:

- Input: edge-weighted (simple, undirected) connected graphs (positive weights)
- Notions:
  - subgraph, acyclic, tree
  - spanning subgraph: subgraph including all the vertices
  - spanning tree: spanning subgraph which is a tree — acyclic connected subgraph  $T = (V, E')$ , where  $E' \subset E$

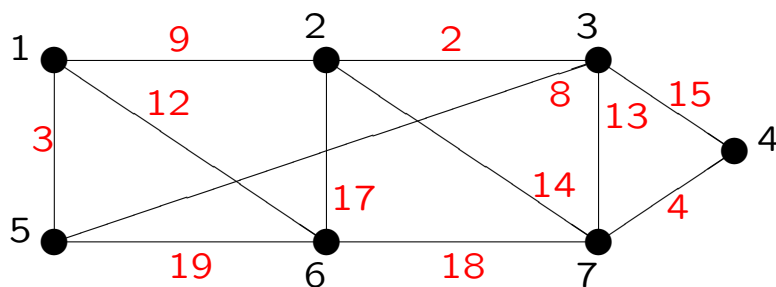
*e.g.*, BFS/DFS (on a connected input graph) tree is a spanning tree of the graph

  - minimum spanning tree: minimum weight

- The MST Problem:

Find a minimum spanning tree for the input graph.

For example:



- The minimum spanning forest problem:  
The given graph is not necessarily connected.  
Find an MST for each connected component.

## Greedy algorithms and MST problem:

- Greedy algorithms:
  - greedy — each step makes the best choice (locally maximum)
  - iterative algorithms
  - optimal substructure  
an optimal solution to the original problem contains within it optimal solutions to subproblems
- Greedy solution may NOT be globally optimum  
e.g., matrix-chain multiplication:  $A_{6 \times 5} \times A_{5 \times 2} \times A_{2 \times 5} \times A_{5 \times 6}$   
Greedy:  $50 + 150 + 180 = 380$  scalar multiplications  
Dynamic programming:  $60 + 60 + 72 = 192$  scalar multiplications
- The MST problem:  
Two greedy solutions are globally optimum
  - Prim's (Prim + Dijkstra + Boruvka's)  
growing the tree to include more vertices
  - Kruskal's (Kruskal + Boruvka's)  
growing the forest to become a tree

## Prim's algorithm for the MST problem:

- Input: an edge-weighted (simple, undirected, connected) graph (positive weights)
- Output: an MST
- Idea:
  - suppose we have already an MST  $T'$  spanning subset  $V'$  of vertices ( $T'$  is initialized empty and  $V'$  is initialized to contain any one vertex)
  - grow  $T'$  to span one more vertex  $v \in V - V'$
  - $v$  is selected such that there is a vertex  $u \in V'$ , edge  $(u, v)$  is the minimum weighted over all edges of form  $(u', v')$  where  $u' \in V'$  and  $v' \in V - V'$
  - when  $V'$  becomes  $V$ , terminate
- One simplest implementation:

```

procedure primMST( $G, w, r$ )           ** $G = (V, E)$ 
 $S = \{r\}$                                ** $S$  spanned vertex subset
 $T = \emptyset$                              ** $T$  MST spanning  $S$ 
while  $|S| < |V|$  do
    find a minimum weight edge  $(u, v)$ :  $u \in S$  and  $v \in V - S$ 
     $S \leftarrow S + v$ 
     $T \leftarrow T + (u, v)$ 
return  $T$ 

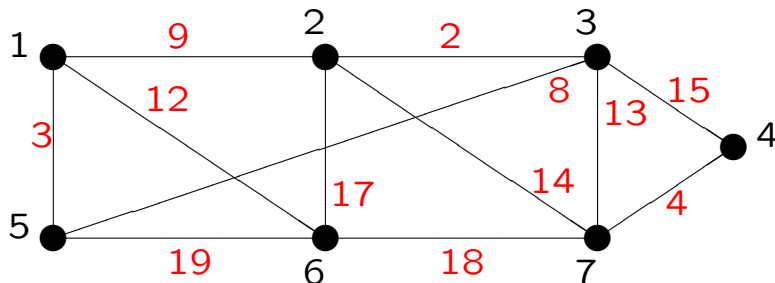
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Running time analysis:

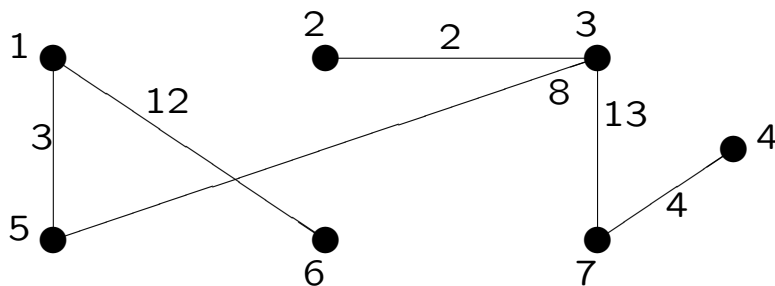
1. finding such an edge in  $O(n^2)$  (or  $O(m)$ ) time
2. there are  $n - 1$  edges in the output MST
3. therefore, in total  $O(n^3)$  (or  $O(nm)$ ) time

Prim's algorithm for the MST problem — an example:

- Input graph  $G$ :



- $\text{primMST}(G, w, 1)$  returns:



- Correctness of Prim's algorithm (next)
- Improvement over the simplest implementation  
Observation: every iteration it looks for minimum weight edge  
 — heap might help (next lecture)

Prim's algorithm for the MST problem — correctness:

- Input graph  $G = (V, E)$ :  $E = \{e_1, e_2, \dots, e_m\}$
- Suppose edges in the output tree  $T$  are  $e_{i_1}, e_{i_2}, \dots, e_{i_{n-1}}$  (in the order picked by Prim's algorithm)
- Want to prove:  $T$  is an MST
- Suppose  $T'$  is an MST and it contains edges  $e_{j_1}, e_{j_2}, \dots, e_{j_{n-1}}$  (sorted in the way that it maps the edge order in  $T$  as much as possible). If  $T \neq T'$  (otherwise we are done), then
  - there is a minimum index  $k$ , such that  $e_{j_k} \neq e_{i_k}$
  - let  $T_0$  denote the tree formed by  $\{e_{i_1}, e_{i_2}, \dots, e_{i_{k-1}}\}$
  - let  $V_0 = V(T_0)$  and  $V_1 = V - V_0$
  - adding  $e_{i_k}$  to  $T'$  creates a cycle which contains some edge, say  $e_{j_p}$ , that has one ending vertex in  $V_0$  and the other in  $V_1$
  - $T'' = T' + e_{i_k} - e_{j_p}$  is another spanning tree
  - $T''$  is another MST (why ?) sharing one more edge with  $T$
  - repeat this argument to claim that  $T$  is also an MST
- **Note: this is a proof using 'contradiction' + 'graph theory'.**
- Proof can also be done by  
 (while) Loop Invariant:  $T$  is an MST on  $S$ .  
 Exercise !

## Lecture 27: Graph Algorithms

Have you understood the lecture contents?

well	ok	not-at-all	topic
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	minimum spanning tree
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	greedy algorithms in general
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Prim's algorithm: idea
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	one simplest implementation
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	execution, & analysis
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	correctness