### Privacy-Preserving Data Mining

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### Motivation

- Dramatic increase in digital data
- World Wide Web
- Growing Privacy Concerns

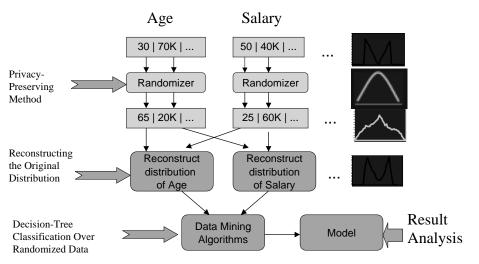
A Surveys of web users

- 17% privacy fundamentalists, 56% pragmatic majority, 27% marginally concerned (Understanding net users' attitude about online privacy, April 99)
- 82% said having privacy policy would matter (Freebies & Privacy: What net users think, July 99)

### **Technical Question**

- The primary task in data mining: development of models about aggregated data.
- A Person
  - May not divulge at all the values of certain fields
  - May not mind giving true values of certain fields
  - May be willing to give not true values but modified values of certain data
- Can we develop accurate models without access to precise information in individual data records?
  - Randomization Approach
  - Cryptographic Approach

### Randomized Approach Introduction



Based on "Privacy Preserving Data Mining: Challenges and Opportunities"

### Talk Overview

- Introduction
- Privacy-Preserving Method
- Reconstructing the Original Distribution
- Decision-Tree Classification Over Randomized Data
- Experiment Result
- Conclusion and Future Work

### Privacy-Preserving Method

• Value-Class Membership

Discretize continuous valued attributes. Values for an attribute are partitioned into a set of disjoint, mutually-exclusive classes. Instead of returning a true value, it returns the interval that the value lies.

• Value Distortion

Add random component to data, return a value  $x_{i} \! + \! r$  Instead of  $X_{i}$ 

- Uniform - Gaussian



Based on R.Conway and D.Strip "select Partial Access to a Database", In Proc, ACM Annual Conf.

### **Quantifying Privacy**

- Measurement of how closely the original values of a modified attribute can be estimated.
- If it can be estimated with c% confidence that a value x lies in the interval [x1,x2], then the interval width (x2-x1) defines the amount of privacy at c% confidence level.
- Discretization : Assumed that intervals are of equal width W
- Uniform: random variable between [-a,a], The mean of the random variables is 0
- Gaussian: The random variable has normal distribution, with mean u= 0 and stand deviation  $\boldsymbol{\sigma}$

	Confidence		
	50%	95%	99.9%
Discretization	$0.5 \times W$	$0.95 \times W$	$0.999 \times W$
Uniform	$0.5 \times 2\alpha$	$0.95 \times 2\alpha$	$0.999 \times 2\alpha$
Gaussian	$1.34 \times \sigma$	$3.92 \times \sigma$	$6.8 \times \sigma$

Table 1: Privacy Metrics

### Talk Overview

- Introduction
- Privacy-Preserving Method
- Reconstructing the Original Distribution
- Problem
- Reconstructing Procedure
- Reconstruction Algorithm
- How does it work
- Decision-Tree Classification Over Randomized Data
- Experiment Result
- Conclusion and Future Work

### Reconstructing The Original Distribution

### **Problem:**

- Original values  $x_1, x_2, ..., x_n$ 
  - from probability distribution X (unknown)
- To hide these values, we use  $y_1, y_2, ..., y_n$ 
  - from probability distribution Y (known)
- Given
  - $x_1+y_1, x_2+y_2, ..., x_n+y_n$  (Perturbed Value)
  - the probability distribution of X+Y (known)
  - Estimate the probability distribution of X.

## Reconstructing The Original Distribution (Procedure)

• Step1: Get single point density functions

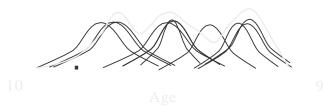
Use Bayes' rule for density functions



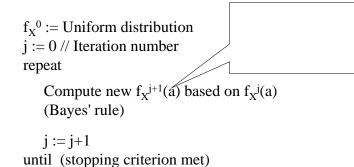
Based on "Privacy Preserving Data Mining: Challenges and Opportunities"

## Reconstructing The Original Distribution (Procedure)

• Step2 : Combine estimates of where point came from for all the points:



### Reconstructing The Original Distribution (Bootstrapping)

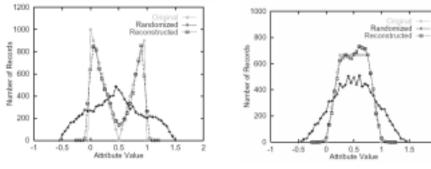


Stopping Criterion: Difference between successive estimates becomes very small

Based on "Privacy Preserving Data Mining: Challenges and Opportunities"

### How well it works

• Uniform random variable [-0.5, 0.5]



Triangles

### Plateau

### Talk Overview

- Introduction
- Privacy-Preserving Method ٠
- Reconstructing the Original Distribution •
- Decision-Tree Classification Over Randomized Data
  - Decision Tree Algorithm
  - Demo a Decision Tree \_
  - Training using Randomized Data
  - Methods of building decision tree using Randomized Data
- **Experiment Result** ٠
- Conclusion and Future Work

## **Decision Tree Classification**

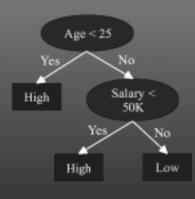
#### Classification:

Given a set of classes, and a set of records in each class, develop a model that predicts the class of a new record.

#### Partition(Data S) Begin if (most points in S are of the same class) then return; for each attribute A do evaluate splits on attribute A; Use best split to partition S into S1 and S2; Partition(S1); Partition(S2); End Initial call: Partition(TrainingData)

### An Example of Decision Tree

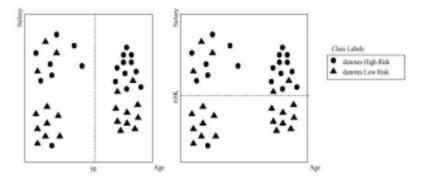




### Selecting split point using "gini index"

We use the gini index to determine the goodness of a split. For a data set S containing examples from m classes, gini(S) = 1 -  $\sum_{j} p_{j}^{2}$  where  $p_{j}$  is the relative frequency of class j in S. If a split divides S into two subsets  $S_{1}$  and  $S_{2}$ , the index of the divided data gini<sub>split</sub> (S) is given by gini<sub>split</sub> (S) = n1/n x gini(S<sub>1</sub>) + n2/n x gini(S<sub>2</sub>).

Note that calculating this index requires only the distribution of the class values in each of the partitions.



### Selecting split point using "gini index"(cont.)

SPLIT: Age <= 50   High   Low   Total S1 (left)   8   11   19 S2 (right)  11   10   21	For S1: P(high) = 8/19 = 0.42 and P(low) = 11/19 = 0.58 For S2: P(high) = 11/21 = 0.52 and P(low) = 10/21 = 0.48 Gini(S1) = 1-[0.42x0.42 + 0.58x0.58] = 1-[0.18+0.34] = 1-0.52 = 0.48 Gini(S2) = 1-[0.52x0.52 + 0.48x0.48] = 1-[0.27+0.23] = 1-0.5 = 0.5 Gini-Split(Age<=50) = 19/40 x 0.48 + 21/40 x 0.5 = 0.23 + 0.26 = 0.49
SPLIT: Salary <= 65K	For S1: P(high) = $18/23 = 0.78$ and P(low) = $5/23 = 0.22$
High   Low   Total	For S2: P(high) = $1/17 = 0.06$ and P(low) = $16/17 = 0.94$
S1 (top)   18   5   23	Gini(S1) = $1-[0.78x0.78 + 0.22x0.22] = 1-[0.61+0.05] = 1-0.66 = 0.34$
S2   1   16   17	Gini(S2) = $1-[0.06x0.06 + 0.94x0.94] = 1-[0.004+0.884] = 1-0.89 = 0.11$
(bottom)	Gini-Split(Age<=50) = $23/40 \times 0.34 + 17/40 \times 0.11 = 0.20 + 0.05 = 0.25$

### Training using Randomized Data

- Need to modify two key operations:
  - Determining a split point.
  - Partitioning the data.
- When and how do we reconstruct the original distribution?
  - Reconstruct using the whole data (globally) or
  - Reconstruct separately for each class?
  - Reconstruct once at the root node or reconstruct at every node?

# Training using Randomized Data (cont.)

- Determining split points:
  - Candidate splits are interval boundaries.
  - Use statistics from the reconstructed distribution.
- Partitioning the data:
  - Reconstruction gives estimate of number of points in each interval.
  - Associate each data point with an interval by sorting the values.

### Algorithms of Building Decision Tree

- "Global" Algorithm
  - Reconstruct for each attribute once at the beginning
- "By Class" Algorithm
  - For each attribute, first split by class, then reconstruct separately for each class.
- "Local" Algorithm
  - As in By Class, split by class and reconstruct separately for each class.
  - However, reconstruct at each node (not just once).

### Talk Overview

- Introduction
- Privacy-Preserving Method
- Reconstructing the Original Distribution
- Experiment Result
  - Experimental Methodology
  - Synthetic Data Functions
  - Classification Accuracy
  - Accuracy vs. Randomization Level
- Conclusion and Future Work

### Experimental Methodology

- Compare accuracy against
  - Original: unperturbed data without randomization.
  - Randomized: perturbed data but without making any corrections for randomization.
- Test data not randomized.
- Synthetic data generator from [AGI+92].
- Training set of 100,000 records, a test set of 5,000 records. split equally between the two classes.

### Synthetic Data Functions

#### Class A if function is true, Class B otherwise

• F1

(age < 40) or ((60 <= age)

• F2

((age < 40) and (50K <= salary <= 100K)) or ((40 <= age < 60) and (75K <= salary <= 125K)) or ((age >= 60) and (25K <= salary <= 75K))

• F3

 $\label{eq:constraint} \begin{array}{l} ((age < 40) \mbox{ and } (((elevel \mbox{ in } [0..1]) \mbox{ and } (25K <= salary <= 75K)) \mbox{ or } \\ ((elevel \mbox{ in } [2..3]) \mbox{ and } (50K <= salary <= 100K))) \mbox{ or } \\ ((40 <= age < 60) \mbox{ and } ... \end{array}$ 

• F4

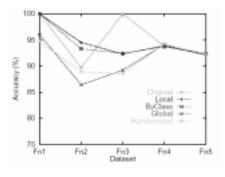
(0.67 x (salary+commission) - 0.2 x loan - 10K) > 0

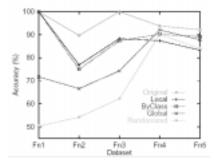
• F5

 $(0.67\ x\ (salary+commission)\ -\ 0.2\ x\ loan\ +0.2\ x\ equity\ -\ 10K)>0$  Where equity = 0.1 x hvalue x max(hyears - 20.0 )

### Classification accuracy

Uniform





Privacy Level: 25% of Attribute Range

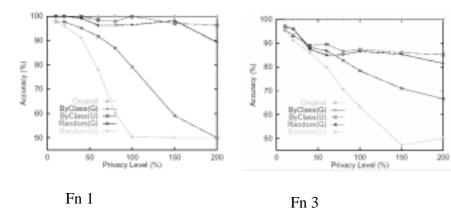
Privacy Level: 100% of Attribute Range

### Privacy Level

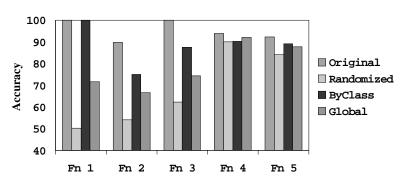
#### Example:

Privacy Level for Age[10,90] Given a perturbed value 40 95% confidence that true value lies in [30,50] (Interval Width : 20) /(Range : 80) = 25% privacy level 25% privacy level @ 95% confidence

### Accuracy vs. Privacy Level



Acceptable loss in accuracy



#### 100% Privacy Level

#### **Conclusions and Future Work** Conclusions Thank You • Preserve privacy at the individual level, but still build accurate models • By class and Local are both effective in correcting for the effects of perturbation · Local performed better than By class but required more computation • For same privacy level, Uniform perturbation did slightly worse than Gaussian. ? Future work • Other data mining algorithms, · Guard against potential privacy breaches - Some randomized values are only possible from a given range. Example: Add U[-50,+50] to age and get 125, True age is 75. -Most randomized values in a given interval come from a given interval. Example: 60% of the people whose randomized value is in [120,130] have their true age in [70,80]. • Find approach to process categorical and boolean type data