Recognition of Patient-related Named Entities in Noisy Telehealth Texts

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We explore methods for effectively extracting information from clinical narratives, which are captured in a public health consulting phone service called HealthLink. Our research investigates the application of state of the art natural language processing and machine learning to clinical narratives to extract information of interest. The currently available data consists of dialogues constructed by nurses while consulting patients by phone. Since the data are interviews transcribed by nurses during phone conversations, they include a significant volume and variety of noise. When we extract the patient-related information from the noisy data, we have to remove or correct at least two kinds of noise: the first is explicit noise, which includes spelling errors, unfinished sentences, omission of sentence delimiters, variants of terms, etc. Second is implicit noise, which includes non-patient's information and patient's untrustworthy information. To filter explicit noise, we propose our own biomedical term detection/normalization method: it resolves misspelling, term variations, and arbitrary abbreviation of terms by nurses. In detecting temporal terms, temperature, and other types of named entities (which show patients' personal information such as age, and sex), we propose a bootstrapping-based pattern learning process to detect a variety of arbitrary variations of named entities. To address implicit noise, we propose a dependency path-based filtering method. The result of our de-noising is the extraction of normalized patient information, and we visualize the named entities by constructing a graph which shows the relations between named entities. The objective of this knowledge discovery task is to identify associations between biomedical terms, and to clearly expose the trends of patients' symptoms and concern; the experimental results show that we achieve reasonable performance with our noise reduction methods.

Categories and Subject Descriptors: I.2.7 [Natural language processing]: Text analysis

General Terms: Design, Algorithms, Performance

Additional Key Words and Phrases: Tele-health mining, named entity recognition, biomedical text mining, effective information retrieval

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1. INTRODUCTION

Extraction of clinical information such as medications, symptoms, diseases, and patient's personal information from clinical text is an important task of clinical natural language processing. Most clinical data consists of unstructured natural sentences, and there are few standard templates for the description format. Even existing templates are limited in scope, brittle in structure, and are often adjusted so that calibration is difficult. It is also difficult to automatically extract that information which health care professionals believe are important to improve healthcare, because there is much noise in most directly captured health data.

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"CHIEF COMPLAINT:

calling for 14 yr old with stomach pain and feels chils. wants to know the symptoms of swine PRIORITY SYMPTOMS:

Alert. No difficulty breathing. No vomitng.

HISTORY/ASSESSMENT OF PRESENT ILLNESS OR DETAILS OF CC:

Writer talked to patient

What is child saying about abdominl pain? hurts mid section, below the belly button in the middle, feels really bad nausa

How long having abdominal pain? 30 min ago

Describe the nature of the pain (constant, intermittent, etc): constant

When did the child last stool? 3 hrs ago,normal

Underlying medical problems? no

Current medications? Nyquil

Allergies? Cats

DID CALLER AGREE WITH RECOMMENDATION:YES.rellevant emergent and 24 hrs symptoms reviewed with caller. Aware to call Link back with new symptoms or concerns(vomiting).Interim care given on all situations.Info on swine given"

Figure 1. Example of a HealthLink call record

Our data comes from captured Tele-Health dialogues in Alberta, Canada from a publicly accessible system that is called HealthLink. With HealthLink, the public can access health advice and information by calling a phone line and discussing real time with a registered nurse who simultaneously transcribes the conversation in text. The data are complex, highly heterogeneous, and generally not convenient for querying or extracting trends. HealthLink transcripts contain unstructured details regarding a patient's concerns, symptoms, personal information, previous diseases, etc. An example is provided in Figure 1.

The development of a tool to parse patient records in order to automatically detect signs of a possible health issue would be a tremendous help for epidemiologists and other health professionals, and could allow them to react more rapidly to a variety of trends. Recent advances in a variety of Artificial Intelligence (AI) Natural Language Processing (NLP) techniques, such as information extraction, named entity recognition, and factual assessment, support the development of such tools. As an integral part of Electronic Health Records (EHR), clinical notes pose special challenges for analyzing EHRs due to their unstructured nature and substantial noise, since they are written by health practitioners in real time, while talking with patients.

The noise can be divided into two types: First is explicit noise, such as spelling errors, abbreviations, unspecified acronyms, unfinished sentences, term variants, and omission of sentence delimiters. Second is implicit noise which is revealed only by a variety of inference methods: we have to figure out the intended meaning and linguistic structure of sentences to detect implicit noise. Written information, which is not about a patient, and untrustworthy information which may not be true, are examples of implicit noise. The following are example sentences that show both types of noise. The spelling errors, such as 'feve', 'somone', and 'concerend' were written by a nurse.

Example sentence: "Son has feve, and not feeling well, caller has flu like symptoms, he has been in contact with somone from Mexico". Mom concerend about H1N1".

→ Explicit noise : feve (fever)

→ Implicit noise: flu (disease not for the patient),

Mexico (travel history not for the patient),

H1N1 (virus which is not confirmed for the patient).

As part of our noise identification process, we have to detect biomedical named entities -- such as disease, virus, drug, and symptom -- as well as temporality, temperature, travel history, and other kinds of patient's personal named entities (e.g., age and sex). Biomedical named entity recognition is the recognition of technical terms in the biomedical fields such as diseases, drugs, symptoms, etc. Examples of biomedical named entity recognition systems include extracting clinical information from radiology reports [Fiszman et al. 2000], identifying diseases and drug names in discharge summaries [Uzuner et al. 2011], and detecting gene and protein mentions in biomedical paper abstracts [Yeh et al. 2005].

To identify explicit noise, we embed a misspelling correction module in our unsupervised language-model-based biomedical term detection method. For temporality and other types of named entities, we set up seed patterns and run our own bootstrapping method: it detects variants of the seed patterns in the data using Damerau-Levenshtein distance [Damerau 1964]. To identify implicit noise, we use more detailed natural language processing method employing syntactic analysis, and filter out untrustworthy information.

The contents of this paper are as follows. In Section 2, we explain how to identify explicit noise. In Section 3, we describe our own method for identifying implicit noise by classifying named entities into facts, non-facts, and concerns for a patient. Section 4 shows some experimental results, and related work is described in Section 5. Section 6 concludes with a summary and future work.

2. REMOVING EXPLICIT NOISE

2.1 Language model-based biomedical named entity recognition

Here we describe our method to recognize biomedical terms such as symptom, disease, drug, virus, etc. Because nurses may arbitrarily write different forms for the same term, we also perform normalization of terms. For literature mining in medical records, the medical ontology known as Unified Medical Language System (UMLS) [Dai 2008] enables physicians to classify signs, symptoms, and diseases using accepted medical concepts. The UMLS integrates over 2 million names for some 900,000 concepts from more than 60 families of biomedical vocabularies, and includes 12 million relations among these concepts. Vocabularies integrated in the UMLS Metathesaurus include the National Centre for Biotechnology Information (NCBI) taxonomy, Gene Ontology, the Medical Subject Headings (MeSH), Online Mendelian Inheritance in Man (OMIM) and the Digital Anatomist Symbolic Knowledge Base. Our hypothesis is that, combined with an integrated information retrieval method, the UMLS is a powerful and appropriate tool to use as the basis for automatically mapping biomedical names with variant forms into one concept. UMLS contains over a million medical and general English concepts, which are further organized under a hierarchy of 134 semantic types. Each concept is assigned one or more high level semantic types. More specifically, we only keep concepts belonging to the following semantic types as biomedical concepts: {disease or syndrome, finding, sign or symptom, virus, pharmacologic}, and exclude other concepts because those are not relevant to biomedical terms (e.g., animal, plant, chemical). We chose these five concepts as relevant to the biomedical concepts, according to the example entities in UMLS.

A central architectural aspect of our processing of medical documents is based on treating sentences of those documents as queries and UMLS entries as documents. In this Information Retrieval (IR) model, we infer a language model for each UMLS concept entry, and rank each related entry according to how likely it generates the input sentence based on its language model. However, our model is different from traditional IR models such as language model-based IR [Ponte and Croft 1998], and BM25 [Robertson Walker 1994] in three ways. First, the characteristics of a query and a document in our experiments are different from those in traditional IR, since a query is longer than a document in our model, and the frequency of most words in a document is uniform.

Second, our purpose is to retrieve all and only matched biomedical concepts for a query sentence, while the purpose of traditional document retrieval is to retrieve a list of documents ranked by their relevance to a query.

In traditional IR formulation, for a word w that is included in a document but not included in a query, one assigns a penalty by computing the probability that the language model does not generate w based on the term frequency.

There is some risk of assigning a penalty based on only term frequency in a document for words that have different information content, as measured by document frequency. To assign a small penalty to the words that are common to the domain but do not have a large amount of information content (e.g. the words 'disease', 'disorder', and 'symptom'), we add a document frequency measure. The document frequency of a word helps determine its information content: the smaller the document frequency of a word, the bigger information content it has.

Finally, our purpose is to detect medical terms in a sentence, but medical terms are often formulated with multiple words. Traditional IR does not consider distance information. In term detection, the distance between words of a multi-word term t provides a clue on the likelihood that those words belong to a common term. Therefore, we add a distance measure. In the following subsections, we will explain our method in detail.

2.1.1 Information retrieval based on a language model

We consider each input sentence as a query and UMLS entries as documents. Then, we would like to estimate $\hat{p}(Q|M_d)$, the probability of the query Q given the language model of document d as follows.

$$\hat{p}(Q \mid M_d) = \prod_{w \in Q} \hat{p}(w \mid M_d) \times \prod_{w \notin Q} (1.0 - \hat{p}(w \mid M_d)) \cdot$$

The first term is the probability of generating words in the query and the second term is the probability of not generating other terms. The specific probabilities for $\hat{p}(O|M_d)$ are defined as follows:

$$\hat{P}(w \mid M_d) = \begin{cases} \hat{p}_{ml}(w, d)^{(1.0 - \hat{R}_{w,d})} \times \hat{p}_{avg}(w)^{\hat{R}_{w,d}} & \text{if } wf_{(w,d)} > 0 \\ \frac{C_w t}{CS} & \text{otherwise} \end{cases},$$

$$\hat{R}_{w,d} = \left(\frac{1.0}{(1.0 + \overline{f_w})}\right) \times \left(\frac{\overline{f_w}}{(1.0 + \overline{f_w})}\right)^{wf_{(w,d)}},$$

$$\hat{p}_{ml}(w, d) = \frac{wf_{(w,d)}}{dl_d},$$

$$\hat{p}_{avg}(w) = \frac{\left(\sum_{d \in d} \hat{p}_{ml}(w, d)\right)}{dt}.$$

 $\hat{p}_{ml}(w,d)$ is the maximum likelihood estimate of the probability of term w under the term distribution of document d, where $wf_{(w,d)}$ is the raw term frequency of term w in document d and dl_d is the total number of tokens in document d. We use Damerau-Levenshtein distance to identify explicit noise such as misspelling, and arbitrary abbreviations. When we compute term frequency, we include the term variants of which the Damerau-Levenshtein distance is less than a threshold. We constructed a small development set, and determined the threshold number on the set, which is the maximum Damerau-Levenshtein distance between term variants. By using the Damerau-Levenshtein distance measure, we compute term frequency wf(w,d) as follows:

$$wf(w,d) = \sum_{t \in DL_{w}} count(t,d) \times (1 - \frac{DL_dist(t,w)}{length(w)}) ,$$

where DL_w is a group of a variant t for word w where $DL_dist(t,w) \le$ threshold. $DL_dist(t,w)$ is a Damerau-Levenshtein distance between t and w. count(t,d) is the count of word t in document d. We add up the counts of all variants of w in document d after assigning a penalty based on Damerau Levenshtein distance.

 $c_w t/cs$ is the background probability for the document that is missing one or more of the query terms, since we do not want to assign 0 for $\hat{p}(w|M_d)$ of this document, where $c_w t$ is the raw count of term w in the collection and cs is the total number of tokens in the collection. $\hat{p}_{avg}(w)$ is the estimate of the probability of the word w from

a larger volume of data. $\hat{R}_{w,d}$ is a risk function based on a geometric distribution, selected to benefit from the robustness of the estimator $\hat{p}_{avg}(w)$ and to minimize the risk of using the estimator. \bar{f}_w is the mean term frequency of term w in documents where w occurs. For more details on each probability, see Ponte and Croft [1998].

2.1.2 Penalty information for domain-specific common terms

In the IR formulation of subsection 2.1.1, for the word w that is included in a document but not included in a query, we assign a penalty by computing the probability that M_d does not generate w based on the term frequency. However, in our task, the term frequency of most terms in a document is uniform. So, there is some risk of assigning a probability measured by only term frequency for words with different information content. To assign a small penalty to the domain-specific common words, we add a document frequency measure which is:

$$DF(d,Q) = \prod_{w \text{ in } d, w \notin Q} \frac{df(w)}{|D|}$$

where df(w) is the frequency of documents that contain w, and |D| is the number of all documents.

2.1.3 Distance information

We need to consider distance among words to detect whether the words in a query indicate one common term. We modify the distance measure of Gaudan et al. [2008], as follows:

$$dist(d,Q) = \frac{current_dist(d,Q)}{min\ dist(d,Q)}$$

Let W be the set of words of a document d found by a query sentence Q. Then W is: $W = tok(Q) \cap tok(d)$,

where n is the number of words in W. Then,

$$min_{dist}(d,Q) = \sum_{i=0}^{n-1} \sum_{j=0}^{n-1} |i-j|,$$

$$current_dist(d,Q) = \sum_{w_i \in W, \ w_j \in W} \left| position(w_i,Q) - position(w_j,Q) \right| \ ,$$

where $position(w_i, Q)$ is the position index of w_i in the query sentence Q.

The $p(Q | M_d)$ (probability of generating Q based on the language model M_d), DF(d,Q) (document frequency measure), and dist(d,Q) (distance measure) are three factors that are combined to score the mention of d in a query Q. The three criteria may be of various importance and must be weighted accordingly. Finally, the three criteria are combined by the product of the functions, and the integrated formula is:

$$score(Q,d) = \hat{p}(Q \mid M_d) \times DF(d,Q)^{\theta 1} \times \left\{ \frac{1}{dist(d,Q)} \right\}^{\theta 2},$$

where document frequency and distance measure are weighted by the parameter θ_1 , θ_2 , and how to estimate each parameter is explained in the next subsection.

2.1.4 Parameter estimation

Since our approach is unsupervised, we need to set a loss function $f(\theta)$ from the retrieved results, and we estimate parameter θ through iterative scaling that minimizes the difference between loss functions $|f_{f-1}(\theta)-f_i(\theta)|$. We set $f(\theta)$ as the sum of frequencies of the words that are included in the relevant top-K ranked documents but not included in the query. The intuition is that the smaller $f(\theta)$ becomes, the better performance we have. In other words, $f(\theta)$ is:

$$f(\theta) = \sum_{m}^{|U|} \sum_{i=1}^{K} \sum_{w \notin U_{m}} tf(w, doc(\theta, i, U_{m}))'$$

where $tf(w,doc(\theta,i,U_m))$ is term frequency of w in the document $doc(\theta,i,U_m)$ which is retrieved with the ith rank for the m^{th} query U_m using parameter θ . In our experiments, K is 10 and |v| is the number of input query sentences. We update the parameter using

$$\theta_{n+1, i} = \theta_{n, i} + \eta_{n, i} \{ f_{n-1}(\theta) - f_{n}(\theta) \},$$
where $f_{0}(\theta) = \sum_{m=1}^{|U|} \sum_{w \notin U_{m}}^{K} 1$, and $\{\theta_{0,1}, \theta_{0,2}\} = \{1, 1\}$.

The initial value $f_0(\theta)$ means that the frequency of the words that are not included in the query in each document is one, and we assign 1 for the initial parameter values.

```
********************************

Sx/NN of/IN H1N1/NN ,/, daughter/NN having/VBG vomting/NN and/CC infectius/JJ diarhea/NN ./.

1:8.910129e-56 C1615607|Virus|h1n1 virus

2:1.039884e-66 C1615607|Virus|h1n1 viruses

3:3.644536e-75 C0011991|Sign or Symptom|diarrhea

4:3.644536e-75 C1963091|Finding|diarrhea

5:8.035403e-76 C0042963|Sign or Symptom|vomiting

6:8.035403e-76 C1963281|Finding|vomiting

7:1.166134e-86 C0075675|Pharmacologic Substance|sx 284

8:8.971329e-87 C0425047|Finding|death of daughter

9:6.879881e-89 C0628117|Pharmacologic Substance|sx 810

10:4.584082e-92 C0377974|Pharmacologic Substance|daughter of gold
```

Figure 2. Top-10 biomedical term candidates example

For the update of parameter value θ_l of the document frequency measure, we set $\eta_{n,1}$ to be proportional to $(avg_df_n)/(avg_df_{n-1})$. avg_df_n is the average document frequency of a word that is included in the top-K ranked documents but is not included in the query at the n^{th} iteration. We perform an update of θ_l using the following $\eta_{n,1}$:

$$\eta_{n,1} = \alpha \times \frac{avg_df_n}{avg_df_{n-1}},$$

$$avg_df_n = \frac{\sum_{m=1}^{|U|} \sum_{w \text{ in } d_{n,i}, w \notin U_m}^{K} df(w)}{\sum_{m=1}^{|U|} \sum_{k}^{K} \sum_{w \text{ in } d_{n,i}, w \notin U_m}^{K} df(w)}.$$

Similarly, for the update of parameter value θ_2 of the distance measure, we set $\eta_{n,2}$ to be proportional to $(avg_dist_{n-1})/(avg_dist_n)$. avg_dist_n is the average distance of words per document in n^{th} iteration. We perform an update of θ_2 using the following $\eta_{n,2}$:

$$\eta_{n,2} = \beta \times \frac{avg_dist_{n-1}}{avg_dist_n},$$

$$avg_dist_n = \frac{\sum_{m=1}^{|U|} \sum_{k=1}^{K} dist(d_{n,i}, U_m)}{\left\{\sum_{m=1}^{|U|} \sum_{i=1}^{K} 1\right\}}.$$

The α and β are constants that control the convergence speed of the iterations. In our experiments, we set α and β to 0.01, and the initial values of $\{\eta_{n,1} \eta_{n,2}\}$ to $\{0.01,0.01\}$. We stop iterating when $|f_{n-1}(\theta)-f_n(\theta)| \le 10$. The threshold 10 was determined on a small development set.

We obtain ranked relevant concepts according to the integrated IR model. Figure 2 shows one retrieval example using this model.

2.2 Step 2: Clustering retrieved concepts

```
HAC(d_1, ...., d_N)
      for n \leftarrow 1 to N
2
          do for i \leftarrow 1 to N
3
              do C[n][i] \leftarrow SIM(d_n, d_i)
4
          I[n] \leftarrow 1 (keeps track of active clusters)
5
       A \leftarrow [] (assembles clustering as a sequence of merges)
6
      for k \leftarrow 1 to N-1
          \mathbf{do} < i, m > \leftarrow \operatorname{argmax}_{\{< i, m > : i \neq m \land I[i] = 1 \land I[m] = 1\}} C[i][m]
8
          if C[i][m] = 0
             return A
10
          A.APPEND(\langle i, m \rangle) (store merge)
          I[m] \leftarrow 0 (deactivate cluster)
11
12 return A
where SIM(A,B) = cosine similarity(A,B) if ((A \cdot B) = \{\min(\|A\|, \|B\|)\}^2),
        SIM(A,B) = 0, otherwise;
```

Figure 3. Modified HAC algorithm for our task

```
Terminal — ssh — 80x24

**********num:1316**************

Sx/NN of/IN H1N1/NN ,/, daughter/NN having/VBG vomting/NN and/CC infectius/JJ diarhea/NN ./.

1:8.910129e-56 C1615607 | Virus | h1n1 virus (Cluster1) <-CHOSEN

2:1.039884e-66 C1615607 | Virus | h1n1 viruses (Cluster1)

3:3.644536e-75 C0011991 | Sign or Symptom | diarrhea (Cluster2) <-CHOSEN

4:3.644536e-75 C1963091 | Finding | diarrhea (Cluster2)

5:8.035403e-76 C0042963 | Sign or Symptom | vomiting (Cluster3) <-CHOSEN

6:8.035403e-76 C1963281 | Finding | vomiting (Cluster3)

7:1.166134e-86 C0075675 | Pharmacologic Substance | sx 284 (Cluster4)

8:8.971329e-87 C0425047 | Finding | death of daughter (Cluster5)

9:6.879881e-89 C0628117 | Pharmacologic Substance | sx 810 (Cluster4)

10:4.584082e-92 C0377974 | Pharmacologic Substance | daughter of gold (Cluster5)
```

Figure 4. Example of clustering and retrieved examples

We assume there is only one concept ID corresponding to a medical term. But since it is typical that more than one concept is retrieved for each medical term mentioned in a sentence, we need to cluster the concepts according to their shared words.

To do so, we apply a Hierarchical Agglomerative Clustering (HAC) algorithm which is commonly used for document clustering [Willet 1988], and which does not require a pre-specified number of clusters. This algorithm begins with each document as a cluster of its own (lines 1-4 in Figure 3), and iterates by merging the two most similar clusters (lines 6-7), and then terminates when there are no more non-overlapping sets to merge (lines 8-9). This HAC algorithm requires the definition of a similarity function between documents and between sets of documents. Each document (UMLS concept entry) is represented as an attribute vector, with each word in the input sentence being an attribute in this vector. If a word in the input sentence occurs in a concept entry, the corresponding attribute value of the vector is '1'. Otherwise, it is '0'. The similarity of two documents is often taken as a normalized function of the dot product of their attribute vectors.

The HAC algorithm that we use is shown in Figure 3. This algorithm groups the two most similar clusters at each iteration, and then recalculates the similarity between clusters. It terminates if the iteration is performed N (number of documents)-1 times or the maximum similarity between clusters becomes 0. We use cosine similarity

between vectors as a similarity measure. We assume that there is a hierarchical relation between concept entries 'A' and 'B' only if all the common words between 'A' and the input sentence occur in the entry 'B'. When A and B are represented as vectors, this property can be described as following: there is a hierarchical relation between concept entries 'A' and 'B' only if $(A \cdot B)$ equals to $\{\min(\|A\|, \|B\|)\}^2$). Finally, we assign the similarity value as shown in Figure 3.

A clustering example using the top K-ranked list (K=10) is shown in Figure 4.

2.3 Step 3: Choosing answer concepts from clusters

Once the clustering has been completed, we select a concept that shows the highest rank in each cluster and is within a given threshold. We select the threshold dynamically based on the ranking score distribution, specifically choosing the point at which there is a significant drop in ranking scores which means the ratio of score[i]/score[i+1] is biggest.

Figure 4 shows clustering results for our example, and the selected biomedical concept IDs. In this case, five clusters are constructed according to the HAC algorithm. If we choose a concept that shows the highest rank in each cluster, then we get "h1n1 virus", "diarrhea", and "vomiting". Even though there were misspellings such as "vomting", and "diarhea" in the input query, we obtained the correct terms. The 7th-10th results are dropped because at this point there is a significant difference in the ranking scores.

2.4 Recognition of non-biomedical named entities based on bootstrapping

Temporality, temperature, location, and other personal named entities -- such as age, and sex -- also have various surface forms including misspelling, and arbitrary abbreviations. Given these considerations, we address the following question: How can the named entities having arbitrary different surface forms be automatically learned from the data, with minimal effort using lexical and part-of-speech patterns? Ling and Weld [2010] detect temporal named entities using the semantic role labeling tool of Koomen, however this tool is not publicly available. Wang et al. [2010] added the concept of temporal fact, in addition to the YAGO ontology using regular expression, to extract temporal information from Wikipedia Infobox. Li and Patrick [2012] use surrounding word features to extract temporal information. They show that the contexts that surround the temporal expressions are not sufficient, since the same temporal expressions can be mentioned in various contexts.

Previous work on temporal information detection manually constructs regular expressions for the anticipated patterns. We want to avoid human labor to collect all variations of patterns, so we propose a bootstrapping algorithm with a single seed and non-recursive lexical pattern learning using the WordNet lexicographic dictionary.

Many successful methods have used an unsupervised iterative bootstrapping framework [Riloff and Shepherd 1997]. Bootstrapping has since been effectively applied to extract general semantic lexicons [Riloff and Jones 1999], and facts [Carlson et al. 2010]. This kind of bootstrapping is considered to be minimally supervised, as it is initialized with a small set of seed terms of the target category to extract. These seeds are used to identify patterns that can match the target category, which in turn can be used to extract new patterns [McIntosh 2010].

Starting from the original seed, each new pattern produced by the Damerau-Levenshtein distance algorithm can be considered an input seed for another instance of the algorithm. This procedure can be iterated over all the new patterns. This approach increases the number of retrieved patterns, but can create unwanted noise. At each bootstrapping step, the produced patterns can diverge from the original seed. We tackle this problem by introducing a stop criterion in the bootstrapping framework, whose goal is to select only those new patterns that are semantically similar to the original seed. Our measure of semantic similarity between a surface pattern and the seed is approximated by using Damerau-Levenshtein distance, the WordNet dictionary, and part of speech tagging. We assume that the firstly obtained new patterns produced by the expansion of the original seed are the most semantically similar. Therefore, we stop after one iteration. The stop criterion reduces the number of computations and guarantees a semantic similarity between the original seed and the new patterns. The final output of the bootstrapping process is the union, without duplicates, of all the new patterns that are evaluated as correct by the stop criterion.

The more specific description of our method is in the following: There are many types of temporal words, e.g., "this morning", "last Wednesday", "April 24^{th} ", etc. Since manually constructing seed patterns cannot cover all types, we use WordNet to retrieve all words related to time. We collect all the words of which semantic category is <noun.time> from WordNet, and then annotate the words in our data as "temporal noun (TEM)" if they are included in the <noun.time> category. There are 930 words in the <noun.time> category in WordNet 3.0. We regard each temporal noun as a seed. The examples of seed patterns are "April", "evening", "Friday", "minute", "yesterday", "year", etc. We input each seed s to the bootstrapping algorithm and get the output of the seed variants s' if Damerau-Levenshtein distance(s, s') does not exceed a threshold. We set the threshold value as 2, except for the short words, where the length is the same as or less than 4, we set the threshold value as 1, according to the development set.

We have to check if new patterns are semantically similar with the original seed. To do that, we use WordNet dictionary and part of speech tagging. If an obtained pattern word occurs in the WordNet dictionary with a different meaning or has a different part-of-speech (POS) tag from that of the seed word, then we consider the new pattern has a different meaning, and we filter them from the obtained pattern set. We use the POS-tag results of the Stanford (http://nlp.stanford.edu/software/lex-parser.shtml). For example, we can obtain the following new variations by running bootstrapping:

```
days -->says, april -->advil, year-->ear, today-->body, today-->okay.
```

We remove these from the obtained new patterns, because of two reasons. First, for the cases of 'advil', 'ear', 'body', and 'okay', each meaning is different from its original word according to the WordNet dictionary. The words of the same meaning share the same database location number in WordNet. Second, for the case of 'says', the word is not shown in the WordNet dictionary, but the part of speech tag of the Stanford parser for "says(verb)" is different from "days(noun)". This process of bootstrapping is shown in Figure 5.

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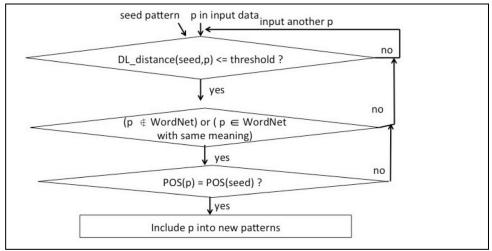


Figure 5. Bootstrapping algorithm

```
1. Temporality
    ($NUM)* ($temporal_noun)* ($NUM)*

    ($determiner) ($temporal_noun)*

    [when|time] [$any_word]* ($NUM)

2. Age
    [age] [$any_word]* ($NUM) (year|month)*
    ($NUM) (year|month) (old)

3. Temperature
    [temperature|fever] [$any_word]* ($NUM)
    where 35<=($NUM)<=41 or 92<=($NUM)<=106

4. Sex
    Choose the more frequent category between 'woman' and 'man' category words

5. Location
    ($location noun)
```

Figure 6. Regular expressions

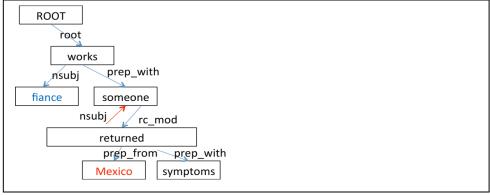


Figure 7. Syntactic dependency example

We extract temporal NP, temperature, sex, age, and location using the regular expressions of Figure 6. In the Figure, [] is surrounding context information, and () is the corresponding named entity. For the age, we use the pattern 'age+num' and 'num-year-old'. For the temperature, there should be words such as 'temperature' and 'fever' in the surrounding context within the sentence, and we also limit the

boundary of numbers between 35 and 41, or between 92 and 106, which indicates the boundary of human fever as Centigrade and Fahrenheit respectively. For the sex, we choose the more frequently appearing category between 'man' and 'woman' in the data. For the pronouns, we simply used 'he', 'him', and 'his' as the male information, and 'she', and 'her' as the female information. We can also get the noun's sex information from the definition of the noun in WordNet using the words "male", and "female". For travel information, we use the city information of the <noun.location> category of WordNet.

The following are examples of patterns obtained from the regular expressions.

```
<Age>19 yr old, three year old, 6 yrs old, aged 4 onth,
<temporality> this orning, three day, 10days, tonigt,
<sex> husband (male)
<location> Mexico
<temperature> 39c
```

Even though the above examples include spelling errors ("orning", "onth", "tonigt", "39c"), abbreviations ("yr", "yrs"), grammatical errors ("year", "day"), and space error ("10days"), our regular expressions successfully obtained all the patterns. We also use the abbreviation forms provided by WordNet such as Mon (Monday), Sat (Saturday), eve (evening), Mar (March), yr (year), etc. Since an abbreviated term is already a variation of the standard word, we do not use the bootstrapping algorithm for confirmed abbreviated terms.

3. REMOVING IMPLICIT NOISE

We want to extract facts on patients by removing implicit noise. We need to do two tasks: the first task is to extract the information only for patients, not any other person mentioned in text such as friends, or family members. The second task is to identify facts from the patients' information. Details are given in the following subsections.

3.1 Extracting information only for patients

We need to know the subject of each named entity to distinguish and remove named entities not associated with a particular patient. Based on the syntactic analysis, we determine the subject of a named entity, and then filter it if the subject is not the patient. The following is an example.

Example: fiance works with someone who returned from mexico with symptoms. fiance has symptoms of fever, cough and sore throat.......

We perform syntactic analysis using the Stanford parser, and detect the subject role of each named entity. To improve the syntactic analysis results, we replace all misspelled words with the corrected results according to Section 2. Figure 7 shows the syntactic dependency result of the first sentence in the above example. We make the following two assumptions.

- 1. The patient is the most frequent person noun.
- 2. If the parser does not explicitly indicate the subject of a named entity, then we regard the nearest person noun or pronoun is the subject.

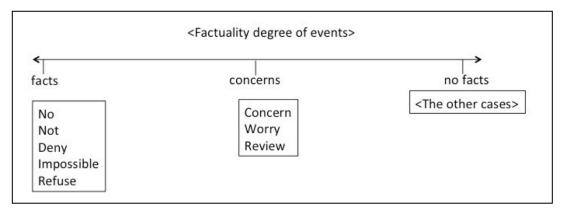


Figure 8. Heuristic patterns for factuality degree of events

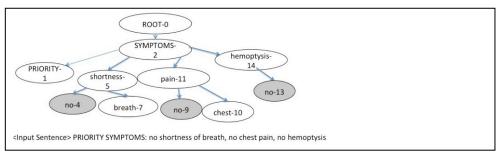


Figure 9. Example parse tree 1 for negation

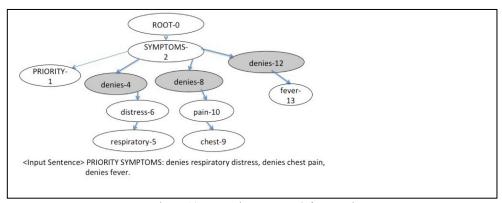


Figure 10. Example parse tree 2 for negation

Person noun means the noun which has a semantic category <noun.person> in WordNet. The subject of "Mexico" in Figure 7 becomes "someone" since "someone" is nearest person noun from "Mexico", and we know the patient is "fiancé" because it is the most frequent person noun in this example paragraph. Since "fiancé" is different from "someone", we remove Mexico from the patient-related named entities.

3.2 Removing untrustworthy information of patients

Identifying the factuality status of mentioned events is fundamental for reasoning about events in discourse [Sauri and Pustejovsky 2012]. Inferences derived from events judged as not having happened, or as being only possible, are different from

those derived from events evaluated as factual. Karttunen and Zaenen [2005] discuss its relevance for information extraction. In the area of textual entailment, factuality-related information (modality, intensional contexts, etc.) has been considered as a basic feature. The need for this type of information is also acknowledged in the annotation schemes of corpora devoted to event information, such as the ACE corpus for the Event and Relation recognition task (e.g., ACE 2008), or TimeBank, a corpus annotated with event and temporal information [Pustejovsky et al. 2006].

Significantly, in the past few years this kind of information has been the focus of much NLP research dedicated to the biomedical domain. Factuality-related information was the main focus in the CoNLL-2010 shared task on Learning to Detect Hedges and their Scope in Natural Language Text [Farkas et al. 2010]. The topic in a subtask of the BioNLP '09 and BioNLP '11 shared task editions on Event Extraction [Kim et al. 2009], dedicated to predict whether the biological event is under negation or speculation.

We assess the factuality degree of events (whether they correspond to facts, counterfacts, or only concerns) based on heuristic patterns as shown in Figure 8. We detect polarity based on the negation words such as "no", "deny", "not", "impossible", and "refuse". The scope of polarity is determined based on syntactic analysis.

As an example, note that the presence of a medical term in a clinical note does not necessarily imply its presence in a patient. Our negation annotator looks at the surrounding text of each medical term annotation and filters term mentions appearing in negated contexts based on simple heuristics such as presence of negation related words noted above. In principle, negative medical term findings can also indicate interesting relationships. However for this work, we focus only on positive medical term occurrences. For the detection of "concerns", we simply use the following word patterns: "concern", "worry", and "review". We regard obtained named entities are "concerns" if their surrounding context words include these word patterns. We collect negation words, and then prune negations based on the negation words and determine the boundaries of negation based on parsing information. Negation words can either be adjectives or verbs. The two cases show different kinds of syntactic graphs, and we need different rules for each case.

First, if the POS-tag of a negation word is an adjective, its main syntactic function is to modify the following noun. Therefore, the boundary of negation includes its governor, which is the following noun, and all the children/descendant nodes of the governor. For example, for the sentence "PRIORITY SYMPTOMS: no shortness of breath, no chest pain, no hemoptysis-14.", the Stanford parsing result is shown in Figure 9. This figure includes an adjective "no" as a negation word. In the figure, the governor of "no-4" is "shortness-5". The governor "shortness-5" and all the children/descendant nodes of the governor are included in the boundary of negation word "no-4". In a similar way, "pain-11" and all children/descendant nodes are included in the boundary of negation word "no-9". For the negation word "no-13", only "hemoptysis" is included in the negation boundary.

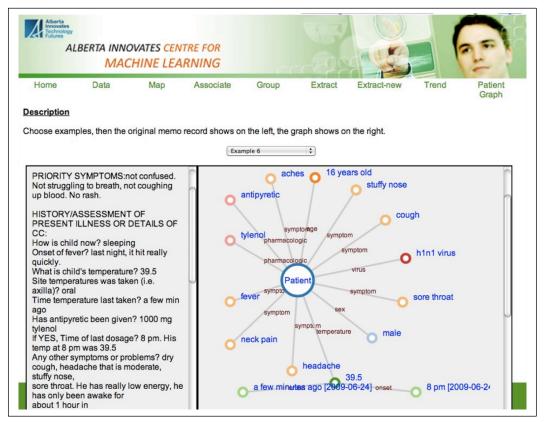


Figure 11. Visualization example of Tele-health data

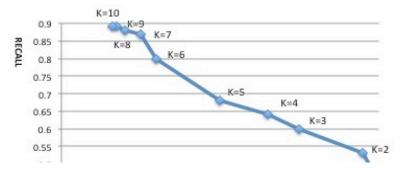


Figure 12. Precision/recall when top-K ranked biomedical entries are retrieved

Second, if the negation word is a verb, its main function is to govern its dependents. In this case, we just include its children/descendant nodes within a negation boundary. Figure 10 is the parse tree of the sentence "PRIORITY SYMPTOMS: denies respiratory distress, denies chest pain, denies fever.". The example in Figure 10 shows how the verb "deny" is used as a negation word. Since the negation word is a verb, all children/descendant nodes are included within the boundary of negation. In this figure, the negation boundary of "denies-4" includes "distress-6" and "respiratory-5", and the negation boundary of "denies-8" includes "pain-10" and "chest-9". For the negation word "denies-12", only "fever-13" is included.

Table 1. Performance of our system

	Precision	Recall	F-measure
Biomedical term detection	0.6820	0.8929	0.7733
Temporality	0.8905	0.9035	0.8970
Age	0.9304	0.8602	0.8939
Sex	0.9484	0.8743	0.9098
Temperature	0.9203	0.9038	0.9120
Travel info.	0.7392	0.9553	0.8335
Factuality Assessment	0.9520	0.8930	0.9216

Table 2. Comparison of our biomedical term detection system with others

	Precision	Recall	F-measure		
Our Biomedical term detection in EBI [Jimeno et al.	0.7303	0.7769	0.7529		
2008] data					
Performance of EBI's statistical method	0.6617	0.6710	0.6663		
Performance of EBI's dictionary lookup method	0.7940	0.6006	0.6839		
MetaMap	0.8390	0.5357	0.6539		

Table 3. Comparison of our temporality system with others

	Precision	Recall	F-measure
Our temporality method	0.8905	0.9035	0.8970
HeldelTime	0.8726	0.7538	0.8089
SUTIME	0.9463	0.7792	0.8547

Table 4. Change of performance when each step is removed

		Precision	Recall	F-measure
Using all steps		0.6820	0.8929	0.7733
Change in 1 st step	Without damerau-levenstein distance	0.6967	0.8302	0.7576
	Without distance measure	0.7763	0.7286	0.7517
	(IR formula + DF)			
	Without document frequency	0.8822	0.4729	0.6157
	+distance (only IR formula)			
Change in 2 nd step	Without Clustering	0.5472	0.9157	0.6850
Change in 3rd step	Without cutting threshold	0.6398	0.9172	0.7538
When we input noun	phrases, not a whole sentence	0.7036	0.7618	0.7315

4. EXPERIMENTAL RESULTS AND DISCUSSION

4.1 Performance of our system

We constructed a small development set consisting of 100 sentences, and the set was used to determine threshold values in our experiments. We evaluated our system's performance on our HealthLink data, which consists of 5,297 sentences for 300 patients, and average 7.42 words/sentence. The anonymized data was provided by Alberta Health Services. We annotated the data with 10 named entities such as "disease", "finding", "symptom", "virus", "pharmacologic", "temporality (onset)", "age", "sex", "temperature", and "travel". We can visualize the HealthLink data as shown in Figure 11. In the graph, a node name indicates a detected named entity term and an edge tag indicates the type of the corresponding named entity. We linked a temporal named entity node with the related medical named entity node that

occurred nearest in the same sentence. We obtained the following experimental results.

- 1. Our proposed method in biomedical term detection achieved 77.33% in F-measure (see Table 1).
- 2. Our method significantly outperformed MetaMap [Aronson 2001] by 9.9%, and EBI's system [Jimeno et al. 2008] by 8.6% (see Table 2).
- 3. Temporality achieved 89.70% in terms of F-measure and other named entity detection approaches also showed reasonable performance (see Table 1 and 3).
- 4. Factuality for patients showed the F-measure of 92.16% (see Table 1).
- 5. We can see the convergence of the precision/recall points for higher ranks (see Figure 12).

Figure 12 shows each precision/recall of our system when we retrieve top K ranked biomedical entries in Step 1 of Section 2.1, with K=1 to 10. We can note the convergence of the precision/recall points for higher ranks, and we see that K=10 is enough for the convergence of precision/recall. In all the experimental results of this paper, we set K=10.

We measure the performance of our system based on precision and recall as follows: Precision = (the number of correctly detected terms)/(the number of all detected terms), and Recall = (the number of correctly detected terms)/(the total number of existing terms in the data). F-measure is the harmonic mean of precision and recall, and computed by (2*precision*recall)/(precision+recall).

As shown in Table 1, among those systems using the same evaluation data, our system outperformed all previous reported systems with a precision of 68.20%, recall of 89.29%, and F-measure of 77.33%. We compare our method with MetaMap [Aronson 2001] (http://metamap.nlm.nih.gov/), a tool developed at the National Library of Medicine, for mapping raw English text to standardized medical concepts in the UMLS metathesaurus, and EBI (European Bioinformatics Institute)'s system [Jimeno et al. 2008]. In our experiments of Table 2, all the systems used the data that EBI provided, and the EBI's system and MetaMap program trained and tested the same set of data. The precision, recall, and F-measure represent proportions of populations. In trying to determine the difference in performance of two systems, we employ the z-test on two proportions. We test the significance of differences in Fmeasures between 3 kinds of system pairs: {our system, EBI's statistical system}, {our system, EBI's dictionary-based system}, and {our system, MetaMap}. Given two system outputs, the null hypothesis is that there is no difference between the two proportions, i.e., H0: p1=p2. The alternative hypothesis states that there is a difference between the two proportions, i.e., p1 =/ p2. A z-statistics of ±1.96 means that the difference between the two proportions is significant at $\alpha = 0.05$. Z-values in all three significance tests are bigger than 2.58, and shows that the null hypothesis of no difference in the two proportions is rejected.

We also compared our performance of temporality extraction with the methods of two previous systems; HeidelTime [Strötgen and Gertz 2010] and SUTIME [Chang and Manning, 2012]. HeidelTime is the best performing system from SemEval-2, and SUTIME is a rule-based system that outperformed HeidelTime. Even though SUTIME outperformed HeidelTime as shown in Table 3, it did not outperform our system.

Of course our performance is partly explained because our system is optimized for our data, and the other two systems are not. In addition, in detecting temporality, both previous systems show lower recall than ours, because our data has many variations and misspelled words. Those previous systems do not have rules to deal with those arbitrary variations.

4.2 Significance of each step

We now summarize the significance of each step introduced in Section 2.1. As shown in Table 4, each step has a significant impact on the system's performance based on z-test at alpha=0.05.

Without using Damerau-Levenshtein distance, the recall was significantly reduced, because we missed all named entities with explicit noise. Without using the document frequency and distance measures for the model in Step 1, the recall is significantly reduced, because the retrieved concept entries can include words that have high information content but are not related to the query, and we can miss the true answers.

Without the clustering of Step 2, the precision is significantly reduced, since more than one concept can be chosen for each disease-related term in the input sentence. The performance without clustering shows reduced performance, compared to the method with clustering.

Likewise, without the cut-off threshold restriction in Step 3, the recall improves, which we attribute to the selection of more concepts. However, the precision is reduced.

One might consider the addition of noun phrase detection, and then use each noun phrase as an input, rather than the whole sentence. However, the experiment after noun phrase detection shows reduced performance compared with the method using a whole sentence as an input. That indicates that, in many cases, a disease term is not embedded in one noun phrase.

In conclusion, the experiments demonstrate that the second and third steps contribute to the improvement of precision, and the first step to the improvement of recall. We conclude that all three steps are important for mapping of sentences into an ontology.

4.3 Discussion

In the process of identifying biomedical terms, our system shows lower precision than recall. This is because some frequently occurring words are treated as biomedical terms because they are included in the UMLS metathesaurus. For example, "be", "problem", "other", "is", and "cc" are considered as biomedical terms. Our system shows good recall because we exploit our variation dictionary and our method includes an arbitrary lexical word variation detection module.

Of course we also have some incorrect detection examples in temporality. In the example "chill will stop for a second", "for a second" is recognized as temporality as a duration for the symptom "chill", which is not correct.

Note that we consider POS-tags when detecting variations of patterns. As a result, precision was improved. For example, "eeting" (verb) was recognized by our system as a variation for "evening" (noun), and then we filtered it because the POS-tags are different. In the sentence, "eeting" was actually used as the misspelling for "eating". In similar examples, there are "hours(noun)→shouls(verb)", "march(noun)→much(adverb)", etc. In this example, "shouls" is a misspelled word for "should", not for "hours". In addition, the method could filter wrong variants if they occurred in the WordNet dictionary with another meaning, e.g., "hour→thur (which means thursday)", "moment→movement", etc.

Recognition of Patient-related Named Entities in Noisy Tele-health Texts

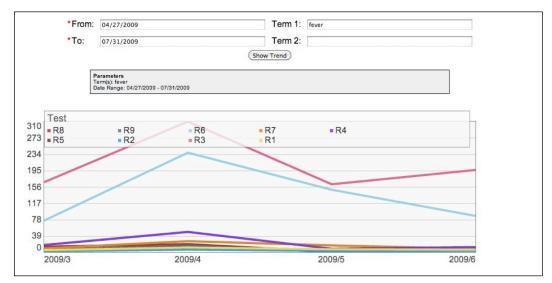


Figure 13. Trend analysis example of a symptom 'fever'

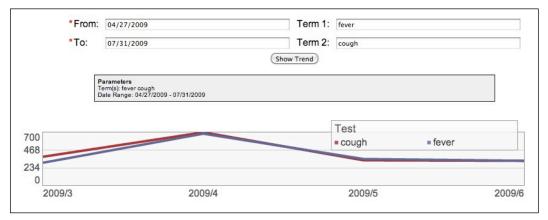


Figure 14. Trend comparison between two symptoms 'fever' and 'cough'

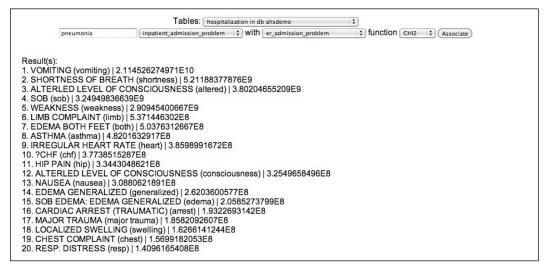


Figure 15. Retrieval of associated symptoms of a disease 'pneumonia'

As incorrectly obtained examples, there are "hours→hoarsy", "march→ach", "minute→sinue", "month→outh", "noon→noo" (in reality, it was actually a misspelling of "no"), "Today→onday (which was misspelled for Monday)".

For age detection, the reason for recall loss is the patterns which do not include "old". In our data, there are cases that include only "num" + "year". For example, "caller has one son, 11 year, school sent home..".

To identify and remove implicit noise with high performance, we need information about the verbs that indicate some speculation on "thinking". e.g., "concern", "worry", "review", etc. In addition, we are now using the parser for factuality assessment, so parsing performance also affects our system. Since our data is imperfect, it creates low accuracy of parsing results, so also impacts system's performance. We first need to remove sentence noise by detecting sentence boundaries and recovering sentence marks, before applying a parser.

In our system, when we identify misspellings, we partly solve space errors. For example, "10days", and "2day" are detected as variants of "days", so they can be recognized as items in the temporality category.

As future work, we can investigate the trend of a symptom/disease for a specific region and time period based on our method, and we can also retrieve the associations between symptoms/diseases. Figures 13-15 show preliminary results of future research. Figure 13 is the trend of 'fever' for each region for a specific period of time, after applying our named entity recognition method. In the Figure, R1 to R9 are regions. Figure 14 compares the trends of two symptoms. Figure 15 shows the associated symptoms for a medical term 'pneumonia' based on the chi-square test.

5. RELATED WORK

Biomedical term detection has been extensively studied in recent years, including the mapping of text phrases to UMLS concepts [Aronson 2001]. Most of these approaches focus on automatic indexing of biomedical literature, and have proved inadequate for processing annotations of high-throughput datasets [Butte and Chen 2006]. It has also been shown that for the task of identifying concepts from annotations of highthroughput datasets, simple methods perform as well or better than MetaMap [Aronson 2001]. In previous work, some approaches of Gaudan et al. [2008] and Jimeno et al. [2008] are based on the identification of weighted words that compose terms denoting ontology concepts. They integrate two new aspects in their scoring method: the proximity between words in text, and the amount of information carried by each individual word. Their method is a statistical method based on specificity, evidence, and proximity. Specificity and evidence are based on the frequency of a word in a corpus, and proximity is based on the distance between words in a corpus. They adopt TFxIDF, commonly used in information retrieval, to measure the evidence and specificity. But the performance is worse than dictionary-based simple matching methods, and they do not use any threshold methods to choose relevant concepts among the ranked concepts. They also do not consider the noise in the data. Holzinger et al. [2008, 2013] used text mining techniques to analyze medical diagnoses, and they also studied disesase-disease relationships using web-based biomedical text mining techniques [Holzinger et al. 2012].

Ruch et al. [2003] considered misspelling correction in electronic patient records. Their system has three modules, and tries to do spelling correction for all types of words considering surrounding words and syntactic function. Since our purpose is only retrieving patient-related named entities, we do not need to correct other non-

valuable words such as prepositions. We just adopt the idea that edit distance is useful in correcting spelling errors.

Mougin et al. [2006] mapped gene terms into UMLS based on normalization of words using the UMLSKS API and exact match. Mottaz et al. [2007] tried to map disease names into MeSH terminology. They used manually curated disease annotations to extract disease names, and applied exact and partial match to map the disease names into MeSH. To consider the information content of each word, they applied a TFxIDF weighting schema.

Some other machine learning approaches have also been investigated. Chun et al. [2006] used a maximum entropy-based method to filter candidate disease names found by dictionary-based methods. Various features are selected, e.g., context words, part of speech tag, word affix, etc. Bundschus et al. [2008] tried cascaded conditional random fields (CRF) using various features based on contexts, dictionary and orthogonal form to detect disease terms and the functional relations between them, but they need annotated data for training. In the methods of Neveol et al. [2009], a priority model [Tanabe and Wilbur 2006] was used to find noun phrases that are possibly disease names. However, the mapping process is still done with the MetaMap program.

We conclude that most previous work uses simple exact or partial matching based on a dictionary, and sometimes performs deep preprocessing such as noun phrase detection and normalization of variant words. Some statistical methods try TFxIDF to measure the information content of a word as used in information retrieval but show poorer performance than the dictionary-based matching methods.

Among the few systems in the medical domain that treat time expressions, the study by Denny et al. [2010] is most relevant to our work. They propose timing and status descriptors for colonoscopy testing data. They use the KnowledgeMap concept identifier to extract colonoscopy concepts, and have developed a rule-based method with regular expressions to extract and normalize time descriptors. However, they rely on meticulous manual rule writing. Since our ultimate system needs to have more explicit understanding of temporal information, in the future we need to integrate our approach with other machine-learning based approaches.

For pattern learning, previous studies have suggested bootstrap-based pattern learning [Hao 2012; Nakashole et al. 2010; Riloff and Shepherd 1997; Riloff and Jones 1999; Yu and Agichtein 2003; Carlson et al. 2010; Mcintosh 2010; Kozareva and Hovy 2010]. Kozareva and Hovy [2010] use graphs to obtain patterns, define a vertex and an edge in the graph, and then choose the patterns (vertices) that have large edge values. Hao [2012] used bootstrapping for pattern learning for collaborative question answering, and Skeppstedt et al. [2012] also use Levenshtein distance for misspelling. We also used bootstrapping based pattern extension and showed reasonable performance like the previous work. The difference in our method is that we iterated only once. Because the length of a word in our pattern is short, we cannot allow much variation.

6. CONCLUSION

We propose a method and system for patient information extraction from noisy health records written down during phone conversations. When we extract the patient-related information from the noisy data, there are two kinds of noise that we have identified for removal: The first is explicit noise, which includes spelling errors, unfinished sentences, omission of sentence mark, etc. The second is implicit noise, which includes non-patient's information and patient's untrustworthy information.

To remove explicit noise, we propose our biomedical term detection/normalization method, which deals with misspelling, imperfectness, and arbitrary abbreviation by nurses. In detecting temporal named entity, temperature, and other types of named entities that convey patients' personal information such as age, and sex, we propose a bootstrapping-based pattern learning to detect all kinds of arbitrary variations of the named entities. To identify and remove implicit noise, we propose a dependency path-based filtering method. Finally, we obtain normalized patient information, and visualize the patient-related named entities by constructing a graph that indicates detected named entity terms, named entity types, and dependency between named entities.

For biomedical term detection, we use our own unsupervised method using a simple language model coupled with a measure based on Damerau-Levenshtein distance. We also presented a temporality detection system that provides a practical and extensible state-of-the-art system for extracting time expressions. It can be used as a basic component for building temporally aware systems and for investigating problems requiring temporal information, such as event extraction, temporal ordering of events, and question answering even for noisy data. In addition, we exploit our regular expression patterns to detect other types of named entities. Our system includes a factuality assessment component, used to distinguish between fact and non-fact, as well as to remove non-patient's information. Our proposed method in biomedical term detection outperformed previous methods. In the temporality and factuality assessment, the proposed system showed reasonable performance. Our system is useful for experts to mine patient information, and to analyze trends of patients' concerns/symptoms.

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